



To: Legislative Fiscal Committee
From: Holly M. Lyons, Fiscal Services Division Director
Subject: Report on FY 2012 Balances Brought Forward to FY 2013
Date: December 6, 2013

Pursuant to Iowa Code section [8.62\(3\)](#), departments are permitted to carry forward 50.0% of the previous budget year's reversions. The funds must be used for training and technology or purchases of products from Iowa Prison Industries (IPI), and must be spent by June 30.

Departments carried forward \$1.7 million of General Fund appropriated dollars from FY 2012 to FY 2013 to be used for training and technology costs. The majority, 75.9%, was brought forward by departments funded through the Administration and Regulation Appropriations Subcommittee.

Training and Technology Balances Brought Forward to FY 2013	
<u>Subcommittee</u>	<u>FY 2013</u>
Administration and Regulation	\$ 1,306,169
Agriculture and Natural Resources	3,095
Economic Development	16,328
Education	49,492
Health and Human Services	7,543
Justice Systems	339,305
Total	<u>\$ 1,721,932</u>

Of the total \$1.72 million, departments spent \$1.18 million for training and technology-related costs resulting in an unspent balance of \$541,000. Of the unspent balance, \$279,000 (51.6%) was associated with the Department of Inspections and Appeals Administrative Hearings Division. Pursuant to Iowa Code section [8.62\(2\)](#), the unspent balance was deposited into the Cash Reserve Fund at the close of FY 2013.

The attachment summarizes reports from State departments regarding the expenditure of the funds brought forward into FY 2013. Unspent balances are indicated by the department's name. Individual department reports are available on the LSA website, under Reports Filed With General Assembly: <https://www.legis.iowa.gov/LSAReports/reportsFiled.aspx>.

The Department of Transportation (DOT) and Community-Based Corrections (CBC) brought forward other funds from FY 2012 to FY 2013.

The DOT, under Iowa Code section [307.46\(2\)](#), brought forward \$344,521 to FY 2013 from the Road Use Tax Fund/Primary Road Fund appropriations. The funds were used for desktop and server software, information technology professional services, and computer equipment.

The CBC District Departments brought forward \$1.6 million in local income from FY 2012 to FY 2013. The funds were generated by local income (e.g., offender fees, federal Bureau of Prisons contracts, or residential rent) and by cost reductions such as not filling vacant positions and treatment contract reductions. The funds were used for operating costs, such as salaries as well as salary adjustment costs, information technology, equipment, and treatment contracts. The District Departments also used the funds for renovation or building repairs (Second, Fourth, Fifth, and Eighth CBC District Departments). The table below shows the amount brought forward by each of the eight CBC District Departments.

CBC Local Income Carried Forward to FY 2013

District Department	Amount	Expenditure
First	\$ 69,842	Support costs.
Second	507,981	HVAC replacement, funded staff that had been funded by grants, treatment contracts, personnel and support costs, and increased reimbursements to DAS for information technology.
Third	18,873	Support costs.
Fourth	59,104	Renovations at the Men's residential facility, including hail storm damage.
Fifth	679,864	Renovations at the Women's residential facility, repairs at Probation offices, and salaries.
Sixth	0	
Seventh	85,044	Personnel and support costs.
Eighth	200,562	Equipment, treatment contracts, and outside repairs.
Total	<u>\$ 1,621,270</u>	

Please contact Beth Lenstra at 281-6301 for further assistance.

Training and Technology

Balances Brought Forward to FY 2013

Department/Appropriation	FY 2013	Comments
<u>ADMINISTRATION AND REGULATION</u>		
Department of Administration		
DAS Operations	\$ 69,817.78	Online training courses (\$560), eDAS development/programming (\$42,531), IPI purchases (\$11,978), Technology equipment (\$14,749).
Terrace Hill Operations	11,143.08	Communications billings (\$747), Information Technology Enterprise (ITE) Costs (\$2,927), Iowa Prison Industries (IPI) (\$833), Technology equipment (\$6,636).
Mercy Building Operations	400,535.30	Server relocation (\$227,390). Reversion of \$173,145.
Total Department of Administration	<u>\$ 481,496.16</u>	
Ethics & Campaign Disclosure		
General Office	\$ 22,845.67	IPI (\$2,749), information technology hardware, software, and equipment (\$19,356). Ending balance of \$741.
Total Ethics and Campaign Disclosure	<u>\$ 22,845.67</u>	
Department of Commerce		
Alcoholic Beverages Operations	\$ 67,654.64	Technology enhancement (\$62,625) and IPI (1,749). Ending balance of \$3,281.
Professional Licensing Division	4,802.72	Training (\$3,698) and Technology (\$1,104).
Total Department of Commerce	<u>\$ 72,457.36</u>	
Governor's Office		
General Office	54,957.76	Information Technology equipment (\$21,357), Office equipment (\$20,623), IPI (\$3,252). Ending balance: \$9,727.
Total Governor's Office	<u>\$ 54,957.76</u>	
Department of Human Rights		
Administration	\$ 2,507.17	IT hardware and software (\$2,337) and web-based training (\$170).
Community Advocacy and Services	94,917.58	IT hardware and software (\$17,748), web-based training (\$188), and IPI (\$2,281). Ending balance of \$74,701.
Criminal & Juvenile Justice	626.44	Software (\$227) and IPI (\$399).
Total Department of Human Rights	<u>\$ 98,051.19</u>	
Department of Inspections and Appeals		
Child Advocacy Board	\$ 63,949.85	IT upgrades and equipment replacement.
Employment Appeal Board	107.53	No expenditures.
Administration Division	23,986.53	IT upgrades and equipment replacement (\$109,795) for four Divisions: Administration, Administrative Hearings, Investigations, and Health Facilities. Ending balance of \$279,195.
Administrative Hearings Division	75,078.35	
Investigations Division	65,747.17	
Health Facilities Division	224,177.50	
Total Department of Inspections and Appeals	<u>\$ 453,046.93</u>	
Department of Management		
Operations	\$ 31,611.98	IT hardware and software (\$966), Local government ITE reimbursements (\$30,646).
Total Department of Management	<u>\$ 31,611.98</u>	
Department of Revenue		
Operations	\$ 88,322.72	IT hardware and software (\$88,323).
Total Department of Revenue	<u>\$ 88,322.72</u>	

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Balances Brought Forward to FY 2013

Department/Appropriation	FY 2013	Comments
Secretary of State		
Operations	\$ 2,572.62	Web-based training (\$433) and IPI (\$2,140).
Total Secretary of State	<u>\$ 2,572.62</u>	
Treasurer of State		
General Office	\$ 806.79	Purchased computers.
Total Treasurer of State	<u>\$ 806.79</u>	
TOTAL ADMINISTRATION AND REGULATION	<u>\$ 1,306,169.18</u>	
<u>AGRICULTURE AND NATURAL RESOURCES</u>		
Department of Agriculture and Land Stewardship		
Administration Division	\$ 3,094.87	Applied towards purchase of ToughBook notebooks for Livestock Inspectors (total cost was \$17,144).
Total Department of Agricultural and Land Stewardship	<u>\$ 3,094.87</u>	
TOTAL AGRICULTURE AND NATURAL RESOURCES	<u>\$ 3,094.87</u>	
<u>ECONOMIC DEVELOPMENT</u>		
Public Employment Relations Board		
General Office	\$ 16,327.64	IPI (\$3,250), IT hardware and software (\$12,611), and LSA - Iowa Code (\$800). Spent from other funds (\$333).
Total Public Employment Relations Board	<u>\$ 16,327.64</u>	
TOTAL ECONOMIC DEVELOPMENT	<u>\$ 16,327.64</u>	
<u>EDUCATION</u>		
Board of Regents		
Iowa Online Advance Academy	\$ 49,289.41	Applied towards technology upgrades for four classrooms (total cost was \$57,852 excluding installation costs).
Regents Board Office	202.80	Applied towards the purchase of an Ipad (total cost was \$599).
Total Board of Regents	<u>\$ 49,492.21</u>	
TOTAL EDUCATION	<u>\$ 49,492.21</u>	
<u>HEALTH & HUMAN SERVICES</u>		
Department of Veteran's Affairs		
Veteran's Affairs Commission	\$ 7,543.36	Technology enhancements.
Total Department of Veteran's Affairs	<u>\$ 7,543.36</u>	
TOTAL HEALTH & HUMAN SERVICES	<u>\$ 7,543.36</u>	

Training and Technology

Balances Brought Forward to FY 2013

Department/Appropriation	FY 2013	Comments
<u>JUSTICE SYSTEM</u>		
Department of Corrections		
CBC District 5	\$ 150,000.00	IT hardware and software for pre-trial release and community services programs, e-learning, and equipment to monitor computers and telephones of sex offenders.
CBC District 7	766.73	IT hardware.
Central Office	265.39	IT upgrades for the Learning Center.
Ft. Madison	58.50	Windows server training.
Anamosa	789.25	IPI cleaning products.
Oakdale	1,337.19	IT programming.
Mt. Pleasant	1,586.35	Hardware switch.
Clarinda	82,068.72	Camera system equipment upgrades.
Ft Dodge	655.28	Hardware switch.
Total Department of Corrections	<u>\$ 237,527.41</u>	
Department of Inspections and Appeals		
Public Defender	\$ 58,669.72	IT enhancement (\$58,060) and IPI (\$610).
Total Department of Inspections and Appeals	<u>\$ 58,669.72</u>	
Law Enforcement Academy		
Operations	\$ 7,179.45	Hardware upgrades for printers and desk top computers.
Total Law Enforcement Academy	<u>\$ 7,179.45</u>	
Board of Parole		
Parole Board	\$ 1,329.88	IT upgrade to transition to the same platform as the Dept. of Corrections - Iowa Corrections Offender Network (ICON) System.
Total Board of Parole	<u>\$ 1,329.88</u>	
Department of Public Safety		
Administration	\$ 13,918.38	Web-based training (\$155) and Microsoft software maintenance and support agreement (\$13,763).
Investigations - DCI	6,185.31	Applied towards the cost of computer lap-tops.
Narcotics Enforcement	5,076.47	Applied towards Microsoft software maintenance and support agreement.
Fire Marshall	2,798.38	Applied towards Microsoft software maintenance and support agreement.
Highway Patrol	6,619.82	Applied towards Microsoft software maintenance and support agreement.
Total Department of Public Safety	<u>34,598.36</u>	
TOTAL JUSTICE SYSTEMS	<u>\$ 339,304.82</u>	
TOTAL ALL SUBCOMMITTEES	<u>\$ 1,721,932.08</u>	